

021104
17157 U.S. PTO

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|--|--|---|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | | Attorney Docket No. 04095- P0010A |
| First Inventor George Kadlicko | | Title Swashplate Assembly |
| Express Mail Label No. EL 889 893 842 US | | |

| | | |
|---|---|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) | |
| 2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27. | 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 25] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims(s) - Abstract of the Disclosure | a. <input type="checkbox"/> Computer Readable Form (CRF) b.: Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies | |
| 4. <input checked="" type="checkbox"/> Drawings(s) (35 USC 113) [Total Sheets 21] | ACCOMPANYING APPLICATION PARTS | |
| 5. Oath or Declaration [Total Sheets 2] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) | |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement [] Power of (when there is an assignee) Attorney | |
| | 11. <input type="checkbox"/> English Translation Document (if applicable) | |
| | 12. <input type="checkbox"/> Information Disclosure [] Copies of IDS Statement (IDS)/PTO-1449 Citations | |
| | 13. <input type="checkbox"/> Preliminary Amendment | |
| | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) | |
| | 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) | |
| | 16. <input type="checkbox"/> Nonpublication Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 of its equivalent. | |
| | 17. <input type="checkbox"/> Other..... | |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

| | | | | | |
|--|--|--|--------------|----------|--------------|
| 18. CORRESPONDENCE ADDRESS | | | | | |
| <input checked="" type="checkbox"/> Customer Number: 24126 | | OR <input type="checkbox"/> Correspondence address below | | | |
| Name | Wesley W. Whitmyer, Jr. | | | | |
| Address | St.Onge Steward Johnston & Reens LLC 986 Bedford Street | | | | |
| City | Stamford | State | CT | Zip Code | 06905-5619 |
| Country | United States | Telephone | 203 324-6155 | Fax | 203 327-1096 |

| | | | |
|-------------------|-------------------------|-----------------------------------|--------------|
| Name (Print/Type) | Wesley W. Whitmyer, Jr. | Registration No. (Attorney/Agent) | 33,558 |
| Signature | | | Date 2/11/04 |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 ND 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, Patent and Trademark Office, Washington, DC 20231-1450.

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**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision

Complete if Known

| | |
|------------------------|-------------------|
| Application No. | - Pending |
| Filing Date | February 11, 2004 |
| First Named Inventor | George Kadlicko |
| Examiner Name | |
| Art Unit | |
| Attorney Docket Number | 04095-P0010A WWW |

☐ Applicant claims small entity status, See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 1,412.00**METHOD OF PAYMENT (check all that apply)**
☐ Check ☒ Credit Card ☐ Money ☐ Other ☐ None
☐ Deposit Account: order
Deposit
Account
Number

Deposit
Account
Name

19-4516

St. Onge Steward Johnston & Reens LLC

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fees(s) during the pendency of this application
☐ Charge fees(s) indicated below, except for the filing fee to the above-identified deposit account
FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1101 | 770 | 2001 | 385 | Utility filing fee | 770.00 |
| 1002 | 340 | 2202 | 170 | Design filing fee | |
| 1003 | 530 | 2003 | 265 | Plant filing fee | |
| 1004 | 770 | 2004 | 385 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | (\$) | 770.00 |

2. EXTRA CLAIMS FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 54 | 34 | X 18.00 | 602.00 |
| Independent Claims | 3 | X | |
| Multiple Dependent | 0 | | |

| Large Entity | | Small Entity | | |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee Code | Fee Description |
| 1201 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 86 | 2201 | 43 | Independent claims in excess of 3 |
| 1203 | 290 | 2203 | 145 | Multiple dependent claims, if not paid |
| 1204 | 86 | 2204 | 43 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 over original patent |

** or number previously paid, if greater; For Reissues, see above

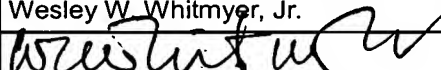
FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing for or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing or cover sheet | |
| 1053 | 130 | 1053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 40 | 2252 | 210 | Extension for reply within second month | |
| 1253 | 950 | 2253 | 475 | Extension for reply within third month | |
| 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| 1401 | 330 | 2401 | 165 | Notice of Appeal | |
| 1402 | 330 | 2402 | 165 | Filing brief in support of an appeal | |
| 1403 | 290 | 2403 | 145 | Request for oral hearing | |
| 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,330 | 2453 | 665 | Petition to revive - unintentional | |
| 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | |
| 1502 | 480 | 2502 | 240 | Design issue fee | |
| 1503 | 640 | 2503 | 320 | Plant issue fee | |
| 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | 40.00 |
| 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37CFR 1.129(b)) | |
| 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00**SUBMITTED BY** St. Onge Steward Johnston & Reens LLC**Complete (if applicable)**

| | | | | | |
|-------------------|---|----------------------------------|-----------|-----------|--------------|
| Name (Print/Type) | Wesley W. Whitmyer, Jr. | Registration No (Attorney/Agent) | 33,558 | Telephone | 203 324-6155 |
| Signature |  | Date | 2/11/2004 | | |

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If you need assistance in completing the form, call 8-800-PTO-9199 and select option 2

PATENT
04095-P0010A WWW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|-----------------------|---------------------|
| Applicant | George Kadlicko |
| Serial No. - Pending | February 11, 2004 |
| Title of Application: | Swashplate Assembly |

Mail Stop Patent Application
Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450

Cover Sheet For Twenty-One Sheets Of Drawings

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